

Letter of Undertaking on Processing of Personal Data

To CCB International Securities Limited:

WHEREAS, in order to perform the obligations under the Account Opening Form and the Account Terms and Conditions (including any amendments or supplements thereto) (collectively, the “**Service Agreement**”) between our company and CCB International Securities Limited (“**CCBIS**”), we may provide necessary personal data to CCBIS in the course of receiving financial products and services relating to asset management, research, investment banking, financing solutions, M&A advisory, trading and brokerage, underwriting, investment management, investment advisory, custody and investment products, etc. from CCBIS. NOW THEREFORE, we hereby undertake the following:

1. We undertake to provide personal data necessary to fulfill the purpose of contract as required by CCBIS, including but not limited to necessary personal data of our contact persons, directors, officers, shareholders, affiliates, contact persons of third-party vendors, authorized dealers and authorized signatories (“**Personal Data Subject**”) as required.
2. We warrant the truthfulness, accuracy, completeness, legality and timeliness of the information we provide to CCBIS and we will update such information in a timely manner.
3. We have complied with and will continue to comply with all applicable privacy and data protection laws and regulations, as amended from time to time, including all laws and regulations applicable to us and the Service Agreement, and will provide necessary information as may be required by CCBIS to demonstrate that we have complied with the foregoing laws and regulations and the terms under the Service Agreement.
4. We warrant the legality of the source of personal data. We also warrant that the collection, transmission, and provision of personal data under the Service Agreement complies with the intent of Personal Data Subject and all applicable laws and regulations. We warrant that we have accurately and completely informed the Personal Data Subject of the matters relating to the processing of his/her personal data in accordance with applicable laws and regulations prior to the processing of such personal data, and that we have obtained the express written consent from the Personal Data Subject regarding the provision of his/her personal data by us to CCBIS, and that such consent covers the processing of his/her personal data by CCBIS pursuant to the Service Agreement, including but not limited to the cross-border transfer of the personal data (including sensitive personal data, if any) provided by us.
5. If we violate the privacy and data protection laws and regulations which result in any damage to the legal rights of the Personal Data Subject or other losses to the Personal Data Subject, we shall bear the relevant liabilities therefor. We shall indemnify CCBIS for the losses incurred by CCBIS as a result of any claims from the Personal Data Subject or regulatory penalties for violating the privacy and data protection laws and regulations, which affect CCBIS or the performance of the Service Agreement.

For and on behalf of

Client:

Name:

Authorized Person

Dated:

(Please affix the seal (if any))